

\$UWLF0H ,QIRUPDWLRQ 6KHHW

3DJH RI
\$ONDOLQH 0DQJDQHVH 'LR[LGH

\$57.&/(.1)250\$7.21 6+((7

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6(&7,21 '2&80(1,1)250\$7,2

3URGXFw (YHUHG\ (QHU 5D\R 'RFXPHQW 1X \$C
8KHPLFDO € \$ONDOLQH 0DQJ =L 'DWH 3UH\$ 2FWF
'HVLJQH G IRU 5 1 9DC8Q\ 2FWF
3UHSDUF (QHU,

6(&7,21 ± &203\$1< ,1)250\$7

(QHUJLUDQG\ (PDIRU ,QIRL
0DU\YLOOH 8Q [HOHUJLIHU#EXV](#)
6W /RXLV 02 [ZZZ HOHUJLIHU FRP](#)

6(&7,21 ± \$57.&/(.1)250\$7

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\$UWLFOH ,QIRUPDWLRQ 6KHHW

3DJH RI
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,03257\$17 1277KH EDWWHU\ VKRXOG QRW EH RSHQHG RU EXUQHG ([SRVXUH WR WKH LQJUHGLH
KDUPIXO

0\$7(5,\$/ 25 ,1*5(',(*UDS &\$6 0DQJDQHVH &\$6 3RWDVVLXP &\$6 =L &\$6 1R +D]DUGRXV &F 6WHHO LURQ &\$6 :DWHU 3DSHU 3ODVWLF DQGRZWKHVWDEOLVKHG1RQH HVWDEOLVKHG %DODQFH 3125 3DUWLFXODWHV QRW RWKHUZZLVH UHJXODWHG 312& 3DUWLFXODWHV QRW RWKHUZZLVH FODVVLIHG	3(/ 26+ PJ 7:\$ WRWI PJ P7:\$ UHVSLUDEOH IUDFWLRQ PJ &HLOLQJ 1RQH HVW PJ 7:\$ 312 WRWDO GXVW PJ P7:\$ 3125 UHVSLUDEOH IUDFWLRQ 1RQH HVWDEOLVKHG1RQH HVWDEOLVKHG	7/9 \$&*, PJ 7:\$ UHVS IUDFWLRQ PJ 7:\$ DV PJ &HL PJ 7:\$ 3128 LQKDODEOH SDUWLFXODWH PJ P7:\$ 312& UHVSLUDEOH SDUWLFXODWH 1RQH HVWDEOLVKHG1RQH HVWDEOLVKHG	ZW
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\$OO (OHUJL]HU \$ONDOLQH 0DQJDQHVH 'LR[LGH =LQF KDYH]HUR DGGHG PHUFXU\

\$SSOLFDEOH %DWWHU\ ,QG XVWU\ 6WDQG DUGV

1RUWK \$PHULFD 6WDQG DUGV ,QWHUQDWLRQDO 6WDQG DUGV	\$16, & 0 3DUW ,(&	\$16, & 0 3DUW ,(&	\$16, & ,(&
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6(&7,21 ± +(\$/7+ \$1' 6\$)(

,QJHVWLRQRW LQGXFH YRPLWLQJ RU JLYH IRRG RU GULQN 6HHN PHGLFDO DWWHQWLRQ LPPH
+27/,1(IRU DGYLFH DQG IROORZ XS FROOHFW GD\ RU QLJKW
7KH IROORZLQJ LQVWUXFWLRQV DSSO\ WR H[SRVXUH RI LQWHUQDO FRPSRQH QWV

,QKDODWLRQYLGH IUHVK DLU DQG VHHN PHGLFDO DWWHQWLRQ
6NLQ &RQVDPWHY FRQWDLQDWHG FORWKLQJ DQG ZDVK VNLQ ZLWK VRDS DQG ZDWHU ,I D FK
PHGLFDO DWWHQWLRQ
(\H &RQWDLGLDWHO\ IOXVK H\HV WKRURXJKO\ ZLWK ZDWHU IRU DW OHDVW PLQXWHV OLIV
FKHPLFDO UHPDLQV 6HHN PHGLFDO DWWHQWLRQ

6(&7,21 ±),5(+\$=\$5'),5(),*+7

,Q FDVH RI ILUH LW LV SHUPLVVLEOH WR XVH DQ\ FODVV RI H[WLQJXLVKLQJ PHGLXP RQ WKHVH
H[SRVHG WR ILUH WR SUHYHQW UXSXUH
)LUH ILJKWHUV VKRXOG ZHDU VHOI FRQWDLQH EUDWKLQJ DSSDUDWXV

\$UWLFOH ,QIRUPDWLRQ 6KHHW

3DJH RI
\$ONDOLQH 0DQJDQHVH 'LR[LGH

6(&7,21 +\$1',1* \$1' 6725\$

6WRUDQWRUH LQ D FRRO ZHOO YHQWL0DWHG DUHD (OHYDWHG WHPSHUDWXUHV FDQ UHVXOW
0HFKDQLFDO &RQWDLQPHQW RI DQ\ ZDWHU RU DLU WLJKW GHYLFH VKRXOG EH DZDUH RI WKH Q
DONDOLQH EDWWHULHV 7KL V JDV PXVW EH HLWKHU DEVRUEHG RU DOORZHG WR HVFDSH WR D
+DQGOLDFLGHQWDO VKRUW FLUFXLW IRU D IHZ VHFRQGV ZLOO QRW VHULRXVO\ DIIHFW WKH ED
HQHUJ\ WKURXJK KHDWLQJ DQG FDQ FDXVH WKH VDIHW\ UHOHDVH YHQW WR RSHQ 6RXUFHV R
PHWDO MHZHOU\ PHWDO FRYHUHG WDEOHV RU PHWDO EHOWV XVHG IRU DVVHPEO\ RI EDWWHU
6ROGHULQJ GLUHFWO\ WR D EDWWHU\ LV QRW UHFRPPHQGHG ,I ZHOGLQJ WR WKH EDWWHU\ LV
SURSHU SUHFDXWLRQV WR SUHYHQW VHDO GDPDJH RU VKRUW FLUFXLW
&KDUJLQJ LV EDWWHU\ LV PDQXIDFWXUH LQ D FKDUJHG VWDWH ,W LV QRW GHVLJQHG IRU UHF
VRPH FDVHV KLJK SUHVXUH UXSWXUH ,QDGYHUWHQW FKDUJLQJ FDQ RFFXU LI D EDWWHU\ LV
/DEHOLQJ ODEHO DFWV DV DQ HOHFWULFDO LQVXODWLRQ IRU WKH EDWWHU\ FDQ 'DPDJH WR
:\$51,1* 'R QRW LQVWDOO EDFNZDUGV FKDUJH SXW LQ ILUH RU PL[ZLWK RWKHU EDWWHU\ W\
5HSODFH DOO EDWWHULHV DW WKH VDPH WLP

6(&7,21 ±',6326\$/ &216,'(5\$7,:

'LVSRVH RI LQ DFFRUGDQFH ZLWK DOO DSSOLFDEOH IHGHUDO VWDWH DQG ORFDO UHJXODWLR
ODQG ILOOLQJ

6(&7,21 ±75\$163257 ,1)250\$1

,Q JHQHUDO DOO EDWWHULHV LQ DOO IRUPV RI WUDQVSRUWDWLRQ JURXQG DLU RU RFHQ
IURP DOO DJHQFLHV IRU VDIH SDFNDJLQJ UHTXLUH WKDW EDWWHULHV EH SDFNDJHG LQ D PDQ
SDFNDJLQJ\ WKDW SUHYHQWV VSLOODJH RI FRQWHQWV \$OO RULJLQDO SDFNDJLQJ IRU (QHUJL
UHJXODWRU\ FRQFHUQ

\$ONDOLQH EDWWHULHV VRPHWLPHV UHIHUHG WR DV 3'U\ FHOO\ EDWWHULHV DUH QRW OLVW
,QWHUQDWLRQDO &DUULDJH RI 'DQJHURXV *RRGV E\ 5RDG WKH ,0* ,QWHUQDWLRQDO 0DULWLP
'DQJHURXV *RRGV 5HJXODWLRQV ,&\$2 7HFKQLFDO ,QVWUXFWLRQV DQG WKH 8 6 KDJDUGRXV P
WKH GDQJHURXV JRRGV UHJXODWLRQV SURYLGHG WKH\ PHHW WKH UHTXLUPHQWV FRQWDLQH

5HJXODWRU\ %RG\	6SHFLDO 3URYLVLRQV
\$'E	1RW UH.
,0'*	1RW UH.
8:	1RW UH.
86 '2	&)5 3URYI
,\$7	\$
,\$\$	1RW UH.

\$OO (QHUJL]HU DONDOLQH EDWWHULHV DUH SDFNHG LQ VXFK D ZD\ WR SUHYHQW VKRUW FLUFX
SURYLVLRQV OLVWHG DERYH ,Q DGGLWLRQ WKH ,\$\$ 'DQJHURXV *RRGV 5HJXODWLRQV DQG ,&
6SHFLDO 3URYLVLRQ QXPEHU \$ EH SURYLGHG RQ WKH DLU ZD\ELOO ZKHQ DQ DLU ZD\ELOO L

)RU HPHUJHQF\ LQIRUPDWLRQ FDOO &KHP7HO 1RUWK \$PHULFD RU ,QWH

\$UWLFOH ,QIRUPDWLRQ 6KHHW

3DJH RI
\$ONDOLQH 0DQJDQHVH 'LR[LGH
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| 6(&7,21 ±5(*8/\$725< ,1)250\$7

\$ %DWWHU\

6\$5\$ 7,7/(,,\$V DQ DUWLFOH WKLV EDWWHU\ DQG LWV FRQWHQWV DUH QRW VXEMHFW WR
5LJKW 7R .QRZ \$FW

86\$ (3\$ 0HUFXU\ &RQWDLQLQJ 5HFKDUJHDEOH %DWWHU\ 1RUPDWLRQ 6KHHW \$FW RI

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86 &36&)+6\$ &)5 1RW DSSOLFDEOH VLQFH EDWWHULHV DUH GHILQHGV DV DUWLFOHV

86\$ (3\$ 76&\$ &)5 1RW DSSOLFDEOH VLQFH EDWWHULHV DUH GHILQHGV DV DUWLFOHV

86\$ (3\$ 5&5\$ &)5 &ODVVLILHG DV QRQ KD]DUGRXV ZDVWH SHU LJQLWDEOH FRUURVLYH

&DOLIRUQLD 3RFDUQLQJ UHTXLUH

'76& 3HUFKORUDWH 1RUPDWLRQ 6KHHW UHTXLUH

(8 5(\$&+ 69+& 5(\$&+ OLVWHG VXEVDQFHV RI YHU\ KLJK FRQFHUQ DUH SUHVHQW DERYH

& \$UWLFOH 'HILQLWLRQV

26+\$ +D]DUG &RPPXQLFDWLRQ 6WDQG DUG 6HFWLRQ F

| 6(&7,21 ±**+6 27+(5 ,1)250\$7

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['76&](#) 'HSDUWPHQW RI 7R[LF 6XEVDQFHV &RQWURO

[\(3\\$](#) (QYLURQPHQWDO 3URWHFWLRQ \$JHQF\

[\)+6\\$](#))HGHUDO +D]DUGRXV 6XEVDQFHV \$FW

[*+6](#) *OREDOO\ +DUPRL]HG 6\WHP IRU +D]DUG &RPPXQLFDWLRQ

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[26+\\$](#) 2FFXSDWLRQDO 6DIHW\ DQG +HDWK \$GPLQLVWUDWLRQ

[5&5](#) \$5HVRXUFH &RQVHUFDWLRQ DQG 5HFRYHU\ \$FW

[6'6](#) 6DIHW\ 'DWD 6KHHW

[69+&](#) 6XEVDQFHV RI 9HU\ KLJK &RQFHUQ

[76&](#) \$7R[LF 6XEVDQFHV &RQWURO \$FW

(QHUJL]HU KDV SUHSDUHG FRSIULJKWHG \$UWLFOH ,QIRUPDWLRQ 6KHHWV WR SURYLQ
EDWWHU\ VIVWHPV %DWWHULHV DUH DUWLFOHV DV GHILQHGV XQGHU WKH *+6 DQG H
*+6 7KH LQIRUPDWLRQ DQG UHFRPPHQGDWLRQV VHW IRUWK KHUHLQ DUH PDGH LQ
DFFXUDWH DV RI WKH GDWH RI SUHSDUDWLRQ +RZHYHU (1(5*,=(5 %5\$1'6 //& 0\$. (6
:,7+ 5(63(&7 72 7+,6 ,1)250\$7,21 \$1' ',6&/\$,06 \$// /,\$%,/,7<)520 5()(5(1&(21 ,7